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THE FIELD-THEORETICAL AND CANONICAL METHODS  
IN CONSTRUCTING CONSERVATION LAWS  
FOR PERTURBATIONS IN GRAVITY THEORIES

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“Recent Development in General Relativity”

Conference in memory of the late Joseph Katz

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# ♣ THEORY

## ◇ Katz, Bichak and Lynden-Bell conserved quantities.

♠ **On the Komar anomaly** [J. Katz, *CQG* 2 423 (1985)]:

- **Komar's superpotential:**

$$\mathcal{L}_H = -\frac{1}{16\pi}\sqrt{-g}R = -\frac{1}{16\pi}\hat{R} \quad \Longrightarrow \quad \mathcal{J}_{Komar}^{\mu\nu} \quad \Longrightarrow \quad E = \frac{1}{2}mc^2. \quad (1)$$

- **Katz's superpotential:**

$$\mathcal{L}_{Katz} = \mathcal{L}_H + \text{div} \quad \Longrightarrow \quad \mathcal{J}_{Katz}^{\mu\nu} \quad \Longrightarrow \quad E = mc^2. \quad (2)$$

- $\mathcal{J}_{Katz}^\mu$  – the covariantized Einstein's pseudotensor;
- $\mathcal{J}_{Katz}^{\mu\nu}$  – the covariantized Freud's superpotential;

♠ **The KBL bi-metric Lagrangian** [KBL, *Phys. Rev. D* 55 5957 (1997)]:

$$\mathcal{L}_{KBL} = \mathcal{L}_H - \bar{\mathcal{L}}_H + \text{div}. \quad (3)$$

- **Differential conservation law:**

$$\partial_\mu \mathcal{J}_{KBL}^\mu = 0 \quad \Longrightarrow \quad \mathcal{J}_{KBL}^\mu = \partial_\nu \mathcal{J}_{KBL}^{\mu\nu} \quad (4)$$

- $\mathcal{J}_{KBL}^\mu(\xi) \equiv \theta^\mu{}_\nu \xi^\nu + \sigma^{\mu\rho\sigma} \bar{\nabla}_\rho \xi_\sigma + z^\mu(\xi)$  – the generalized Noether current;
- $\theta^\mu{}_\nu$  – the generalized energy-momentum;
- $\sigma^{\mu\rho\sigma}$  – the spin tensor;
- $\mathcal{J}_{KBL}^{\mu\nu}$  – the related superpotential.

## ◇ The field-theoretical approach.

♠ **The Deser Formulation** [S. Deser, *GRG* 1, 9 (1970)]:

- Deser's Lagrangian (Minkowski background, formally):

$$\hat{g}^{\mu\nu} = \hat{\eta}^{\mu\nu} + \hat{h}^{\mu\nu} \quad \Longrightarrow \quad \mathcal{L}_D = -\frac{1}{16\pi} \left( \hat{R} - \hat{h}^{\mu\nu} \bar{R}_{\mu\nu} - \hat{\eta}^{\mu\nu} \bar{R}_{\mu\nu} \right). \quad (5)$$

- The field-theoretical equations (Einstein equations):

$$\hat{G}_{\mu\nu}^L = 8\pi \hat{t}_{\mu\nu}^{tot} = 8\pi \left( \hat{t}_{\mu\nu}^g + \hat{t}_{\mu\nu}^m \right). \quad (6)$$

- $\hat{G}_{\mu\nu}^L$  – the linear in  $\hat{h}^{\mu\nu}$  operator;
- $\hat{t}_{\mu\nu}^{tot}$  – the total energy-momentum density;
- Differential conservation law:

$$\bar{\nabla}_\nu G_L^{\mu\nu} \equiv 0 \quad \Longrightarrow \quad \bar{\nabla}_\nu t_{tot}^{\mu\nu} = 0. \quad (7)$$

♠ **The Grishchuk, Petrov and Popova generalization** (curved backgrounds)

[GPP, *Commun. Math. Phys.* 94, 379 (1984)]:

$$\hat{g}^{\mu\nu} = \bar{g}^{\mu\nu} + \hat{h}^{\mu\nu}, \quad \Phi^A = \bar{\Phi}^A + \phi^A \quad \Longrightarrow \quad \hat{\mathcal{L}} = -\frac{1}{16\pi} \hat{R} + \hat{\mathcal{L}}^M$$

$$\hat{\mathcal{L}}^{dyn}(\bar{g}_{\mu\nu}, \bar{\Phi}^A; \hat{h}^{\mu\nu}, \phi^B) = \hat{\mathcal{L}}(\bar{g} + h, \bar{\Phi} + \phi) - \hat{h}^{\mu\nu} \delta \bar{\mathcal{L}} / \delta \bar{g}^{\mu\nu} - \phi^A \delta \bar{\mathcal{L}} / \delta \bar{\Phi}^A - \bar{\mathcal{L}} \quad (8)$$

- The field-theoretical equations (Einstein equations):

$$\hat{G}_{\mu\nu}^L + \hat{\Phi}_{\mu\nu}^L = 8\pi \hat{t}_{\mu\nu}^{\text{tot}}. \quad (9)$$

- The total energy-momentum tensor is not conserved:

$$t_{\mu\nu}^{\text{tot}} \equiv \frac{2}{\sqrt{\bar{g}}} \frac{\delta \mathcal{L}^{\text{dyn}}}{\delta \bar{g}^{\mu\nu}}, \quad \bar{\nabla}_{\nu} t_{\text{tot}}^{\mu\nu} \neq 0. \quad (10)$$

♠ Superpotentials and conserved currents: [A.N.Petrov, *CQG*, 22, L83 (2005)]

- Auxiliary linear Lagrangian (generator of  $\hat{G}_{\mu\nu}^L$ ):

$$\hat{\mathcal{L}}_1 = -\frac{1}{16\pi} \hat{h}^{\mu\nu} \frac{\delta \hat{\mathcal{L}}_H}{\delta \hat{g}^{\mu\nu}} \quad (11)$$

- For arbitrary displacements along  $\xi^\alpha$  Noether procedure leads to:

$$\partial_\mu \mathcal{J}_{ft}^\mu = 0 \quad \Longrightarrow \quad \mathcal{J}_{ft}^\mu = \partial_\nu \mathcal{J}_{ft}^{\mu\nu} \quad (12)$$

- $\mathcal{J}_{ft}^\mu(\xi) \equiv f_t \theta^\mu{}_\nu \xi^\nu + f_t z^\mu(\xi)$  – the generalized f-t current (no spin term!);
- $f_t \theta^\mu{}_\nu$  – the generalized f-t energy-momentum;
- $\mathcal{J}_{ft}^{\mu\nu}$  – the related superpotential.

◇ The generalized Belinfante procedure applied to the KBL model.

♠ Suppressing the spin term in current

[A. N. Petrov and J. Katz, *Proc. R. Soc. (L) A*, 458, 319 (2002)]:

● The Belinfante generalized correction:

$$s^{\mu\nu\rho} = -s^{\nu\mu\rho} = \sigma^{\rho[\mu\nu]} + \sigma^{\mu[\rho\nu]} - \sigma^{\nu[\rho\mu]}. \quad (13)$$

● The Belinfante corrected conservation law:

$$\mathcal{J}_{KBL}^{\mu} + \partial_{\nu} (s^{\mu\nu\rho} \xi_{\rho}) = \partial_{\nu} (\mathcal{J}_{KBL}^{\mu\nu} + s^{\mu\nu\rho} \xi_{\rho}) \implies \mathcal{J}_B^{\mu} = \partial_{\nu} \mathcal{J}_B^{\mu\nu}, \quad (14)$$

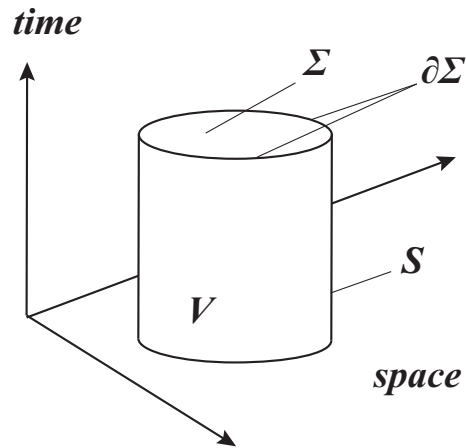
●  $\mathcal{J}_B^{\mu}(\xi) \equiv {}_B\theta^{\mu}{}_{\nu} \xi^{\nu} + {}_B z^{\mu}(\xi)$  – the generalized Belinfante current (no spin term!)

♠ Equivalence between the Belinfante corrected and f-t quantities:

●  $\mathcal{J}_B^{\mu\nu}(\xi) \equiv \mathcal{J}_{ft}^{\mu\nu}(\xi)$  – superpotentials (automatically)

●  ${}_B\theta^{\mu}{}_{\nu} \equiv {}_{ft}\theta^{\mu}{}_{\nu}$  – energy-momentum (using the field equations)

**THUS IN GR, THE BELINFANTE PROCEDURE IS A BRIDGE BETWEEN F-T AND CANONICAL PROCEDURES**



◇ Integral conserved quantities:

TESTS FOR CHECKING VARIOUS APPROACHES.

- Integration of the differential conservation,  $\partial_\alpha \mathcal{J}^\alpha(\lambda) = 0$ , defines a conserved quantity:

$$\mathcal{P}(\lambda) = \int_\Sigma \mathcal{J}^0(\lambda) d^3x; \quad (15)$$

- $\lambda^\alpha$  – background Killing vectors;
- differential conservation,  $\mathcal{J}^\alpha(\lambda) = \partial_\alpha \mathcal{J}^{\alpha\beta}(\lambda)$ , defines a conserved quantity as a surface integral (charge):

$$\mathcal{P}(\lambda) = \int_{\partial\Sigma} \mathcal{J}^{0k}(\lambda) ds_k \quad (16)$$

◇ An arbitrary multidimensional metric theory. Lagrangian -  $\hat{\mathcal{L}}_G$ .

♠ Arbitrary theory, EGB theory, Lovelock theory:

[N. Deruelle, J. Katz and S. Ogushi, *CQG*, 21, 1971 (2004)],

[J. Katz and G. Livshits, *CQG*, 25, 175024 (2008)].

• The KBL ideology

• Different divergences

♠ Non-equivalence between the Belinfante and f-t quantities in general:

[AP, *CQG*, 26, 135010 (2009); *CQG*, 28, 215021 (2011)],

$$\hat{\mathcal{L}}_1 = -\frac{1}{16\pi} \hat{h}^{\mu\nu} \frac{\overline{\delta \hat{\mathcal{L}}_G}}{\delta \hat{g}^{\mu\nu}} \implies \quad (17)$$

• The F-T superpotential is linear in perturbation in any case.

• The Belinfante superpotential is not linear in general,  $\mathcal{J}_B^{\mu\nu} = \mathcal{J}_{KBL}^{\mu\nu} + s^{\mu\nu\rho} \xi_\rho$ .

♠ A family conserved quantities in KBL and B methods:

[A. N. Petrov and R. R. Lompay, *GRG*, 45, 545 (2013)],

♠ Tests, like Sh-AdS mass, do not select a preferable approach.

♠ Interpretation of solutions.



## ♣ SIMPLE APPLICATIONS

## ◇ KALUZA-KLEIN 3D BLACK HOLES IN EGB GRAVITY.

♠ **Maeda-Dadhich model:** [MD, *PRD*, 74, 021501(R) (2006); *PRD*, 75, 044007 (2007)]

### ● The EGB action

$$S = -\frac{1}{2\kappa_D} \int d^D x \sqrt{-g} \left[ R - 2\Lambda_0 + \alpha \underbrace{(R_{\mu\nu\rho\sigma}^2 - 4R_{\mu\nu}^2 + R^2)}_{L_{GB}} \right]. \quad (18)$$

### ● The vacuum field equations:

$$\mathcal{G}^\mu{}_\nu \equiv G^\mu{}_\nu + \alpha H^\mu{}_\nu + \delta^\mu{}_\nu \Lambda_0 = 0. \quad (19)$$

### ♠ The Maeda-Dadhich assumptions.

- The EGB spacetime is to be locally homeomorphic to  $\mathcal{M}^d \times \mathcal{K}^{D-d}$ .
- The EGB metric:  $g_{\mu\nu} = \text{diag}(g_{AB}, r_0^2 \gamma_{ab})$ ,  $A, B = 0, \dots, d-1$ ;  $a, b = d, \dots, D-1$ .
- $g_{AB}$  is an arbitrary Lorentz metric on  $\mathcal{M}^d$ .
- $\gamma_{ab}$  is the unit metric on space of constant curvature  $\mathcal{K}^{D-d}$  with  $k = 0, \pm 1$ .
- $r_0$  is a small scale of extra dimensions in  $\mathcal{K}^{D-d}$ .

♠ The field equations are decomposed as

$$\mathcal{G}^A_B \equiv \left[ 1 + \frac{2k\alpha}{r_0^2}(D-d)(D-d-1) \right] {}_{(d)}G^A_B + \alpha {}_{(d)}H^A_B + \left[ \Lambda_0 - \frac{k}{2r_0^2}(D-d)(D-d-1) \left( 1 + \frac{k\alpha}{r_0^2}(D-d-2)(D-d-3) \right) \right] \delta^A_B = 0; \quad (20)$$

$$\mathcal{G}^a_b \equiv \delta^a_b \left\{ -\frac{{}_{(d)}R}{2} + \Lambda_0 - \frac{k}{2r_0^2}(D-d-1)(D-d-2) - \alpha \left[ \frac{k}{r_0^2}(D-d-1)(D-d-2) \times \right. \right. \\ \left. \left. \times \left( {}_{(d)}R + \frac{k}{2r_0^2}(D-d-3)(D-d-4) \right) + \frac{{}_{(d)}L_{GB}}{2} \right] \right\} = 0 \quad (21)$$

• ‘ ${}_{(d)}$ ’ means that a quantity is constructed with the use of  $g_{AB}$ .

♠ To obtain interesting solutions MD consider the case, when  $\mathcal{G}^A_B \equiv 0$

- It is possible for  $d \leq 4$  only because then  ${}_{(d)}H_{\mu\nu} \equiv 0$ ;
- to satisfy  $\mathcal{G}^A_B \equiv 0$  one can choose only  $D \geq d+2$ ,  $k = -1$  and  $\Lambda_0 < 0$ .

♠ We consider for simplicity  $D = 6$  and  $d = 3$ , then  $r_0^2 = 12\alpha = -3/\Lambda_0$ .

♠ Then, only the field equation is

$${}_{(d)}R = 2\Lambda_0, \quad (22)$$

• The static MD solution:

$$ds^2 = -f dt^2 + f^{-1} dr^2 + r^2 d\phi, \quad f \equiv r^2/l^2 + q/r - \mu, \quad l^2 \equiv -3/\Lambda_0, \quad (23)$$

$\mu$  and  $q$  are the constants of integration.

• For a comparison, the BTZ solution of the standard Einstein theory:

$$ds^2 = -f dt^2 + f^{-1} dr^2 + r^2 d\phi, \quad f \equiv -r^2\Lambda_0 - \mu, \quad (24)$$

$r_+^2 = -\mu/\Lambda_0$  is the definition of the horizon with the background  $\bar{f} = -r^2\Lambda_0$ .

♠ BHs in the MD case are defined analogously.

• The MD horizon equation:  $l^2 q + r_+(r_+^2 - l^2 \mu) = 0$ .

• The MD background:  $\bar{f} \equiv r^2/l^2 + q/r - q/r_+$ .

• The MD ‘mass’ parameter:  $\tilde{\mu} = \mu - q/r_+$  with  $r_+^2 = l^2 \tilde{\mu}$ .

♠ The MD interpretation.

- The Einstein tensor components in 3D, which are non-zero:

$$G_0^0 = G_1^1 = 1/l^2 - q/2r^3, \quad G_2^2 = 1/l^2 + q/r^3. \quad (25)$$

- Assuming that the Einstein equations are hold in 3D, MD conclude that they are not vacuum with redefined cosmological constant,  $\Lambda = \Lambda_0/3 = -1/l^2$ :

$${}_{(3)}R_{AB} - \frac{1}{2}g_{AB}{}_{(3)}R + g_{AB}\Lambda = \kappa_3\mathcal{T}_{AB}. \quad (26)$$

- The ‘matter’ source  $\mathcal{T}_{AB}$  with a zero trace  $\mathcal{T}^A_A = 0$  has to exist; it is interpreted as created by the extra dimensions.

♠ We agree with this but think that it requires more fundamental basis.

♠ Calculation of masses of the objects.

- Charge in all the approaches, KBL, field-theoretical and Belinfante corrected:

$$\mathcal{P}(\xi) = \int_{\Sigma} d^{D-1}x \mathcal{J}^0(\xi) = \oint_{\partial\Sigma} dS_i \mathcal{J}^{0i}(\xi) \quad (27)$$

- The timelike Killing vector:

$$\xi^\alpha = (-1, \mathbf{0}). \quad (28)$$

♠ The BTZ case:

$$M = \oint_{r \rightarrow \infty} {}_E \mathcal{J}^{01} d\phi = \frac{\pi\mu}{\kappa_3}, \quad (29)$$

♠ The MD case:

- $D = 6$  case in EGB gravity:

$$M_6 = \oint_{\partial\Sigma} dx^{D-2} \sqrt{-\bar{g}_D} J_D^{01} = \underbrace{\oint_{r_0} dx^{D-d} \sqrt{-\bar{g}_{D-d}}}_{V_{r_0}} \oint_{r \rightarrow \infty} d\phi \sqrt{-\bar{g}_d} J_D^{01} \quad (30)$$

- $M_6 = 0$  because in all the cases

$$\oint_{r \rightarrow \infty} d\phi \sqrt{-\bar{g}_d} J_6^{01} \equiv 0$$

; see also [R.-G. Cai, L.-M. Cao and N. Ohta, *PRD*, 81, 024018 (2010).]

♠ Compactified extra dimensions:

- Because  $\mathcal{I}_6^{01} \sim 1/\kappa_6$  one could set  $\kappa_3 = \kappa_6/V_{r_0}$  - it is the Kaluza-Klein paradigm. Then

$$M_6 \sim \frac{1}{\kappa_3} \oint_{r \rightarrow \infty} d\phi \dots \quad (31)$$

that is not a comfortable result.

- Therefore, we has to calculate:

$$M_3 = \oint_{r \rightarrow \infty} d\phi \sqrt{-\bar{g}_3} J_3^{01}, \quad (32)$$

where  $J_3^{01} \sim 1/\kappa_3$  and  $\kappa_3 = \kappa_6/V_{r_0}$ .

- Then, in all the cases:

$$M_3 = \frac{\pi \tilde{\mu}}{\kappa_3}, \quad (33)$$

where  $\tilde{\mu}$  is the reduced MD mass parameter.

## ◇ A POINT MASS IN GENERAL RELATIVITY.

### ♠ Coalescence of binary black holes:

[G. Schäfer, Post-Newtonian Methods: Analytic Results on the Binary Problem in book: *Mass and Motion in General Relativity*, 167–210 (Springer, 2011)]; [L. Blanchet, *LRR*, 17, 187 (2014)]; and others.

- At an *initial* step the black holes are modeled by *point-like* particles presented by Dirac's  $\delta$ -function.
- Then consequent post-Newtonian approximations are used; excellent mathematics, regularization, etc
- Extremely necessary for describing LIGO's and VIRGO's discovery!

### ♠ An exact presentation.

- The same physical reality is described in two mathematical techniques.
- Solution of interpretation problems.



◇ The Schwarzschild solution as a field a point source in Minkowski space.

- The Newtonian gravity:

$$\varphi = \frac{m}{r} \implies \Delta\varphi = -4\pi m\delta(\vec{r}) \quad (34)$$

- The Schwarzschild solution:

$$ds^2 = (1 - r_g/r) c^2 dt^2 - (1 - r_g/r)^{-1} dr^2 - r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \quad (35)$$

- The Einstein equations:

$$G_{\mu\nu} = \kappa T_{\mu\nu} \implies T_{\mu\nu} = ??? \quad (\text{not satisfactory}). \quad (36)$$

- The field-theoretical form of the GR equations,

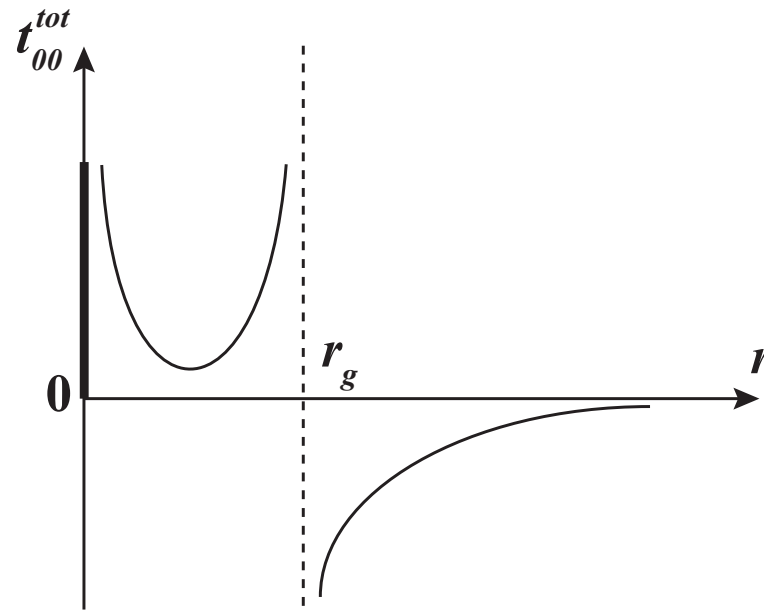
$$G_{\mu\nu}^L = t_{\mu\nu}^{tot}. \quad (37)$$

- The background Minkowski space:

$$d\bar{s}^2 = c^2 dt^2 - dr^2 - r^2 (d\theta^2 + \sin^2 \theta d\phi^2). \quad (38)$$

- The field configuration:

$$h_s^{00} = -\frac{r_g/r}{1 - r_g/r}, \quad h_s^{11} = -\frac{r_g}{r}. \quad (39)$$



The energy density of the gravitational field and sources.

- The break looks as non-comfortable.
- The coordinate transformation, like  $cdt \rightarrow cdt + f(r)dr$  applied to physical metric  $g_{\mu\nu}$  and a consequent choose of the same background as Minkowski space

$$d\bar{s}^2 = c^2 dt^2 - dr^2 - r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \quad (40)$$

changes the field configuration — it is interpreted as the gauge transformation.

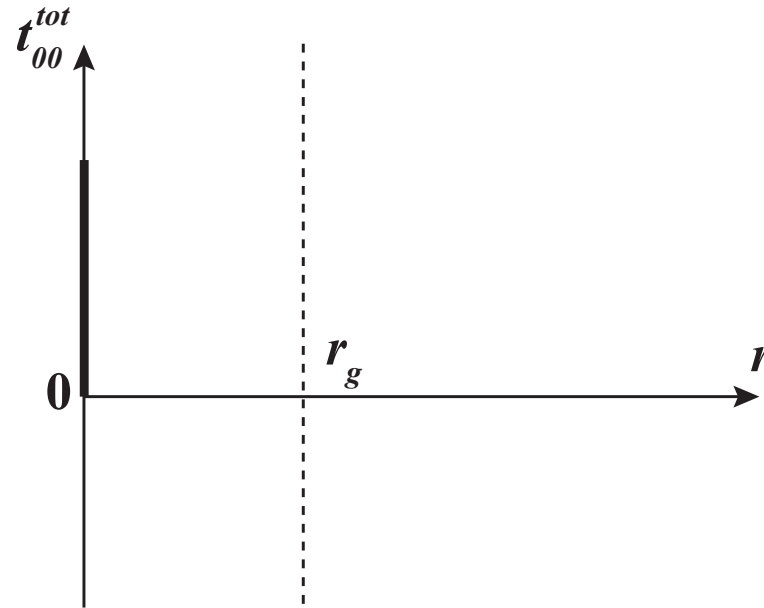
♠ It is necessary to find a more appropriate gauge fixing.

♠ Coordinates transformations for the Schwarzschild solution:

$$cdt \rightarrow cdt - f(r_g/r)dr. \quad (41)$$

♠ Required properties of the related field configurations:

- the true singularity is placed at  $r = 0$  by the  $\delta$ -function ;
- regularity at the horizon;
- the field variables (perturbations) are asymptotically flat.



## ♠ The Eddington-Finkelstein gauge fixing

- The Schwarzschild solution:

$$ds^2 = \left(1 - \frac{r_g}{r}\right) c^2 dt^2 - 2c \frac{r_g}{r} dr dt - \left(1 + \frac{r_g}{r}\right) dr^2 - r^2 d^2\Omega. \quad (42)$$

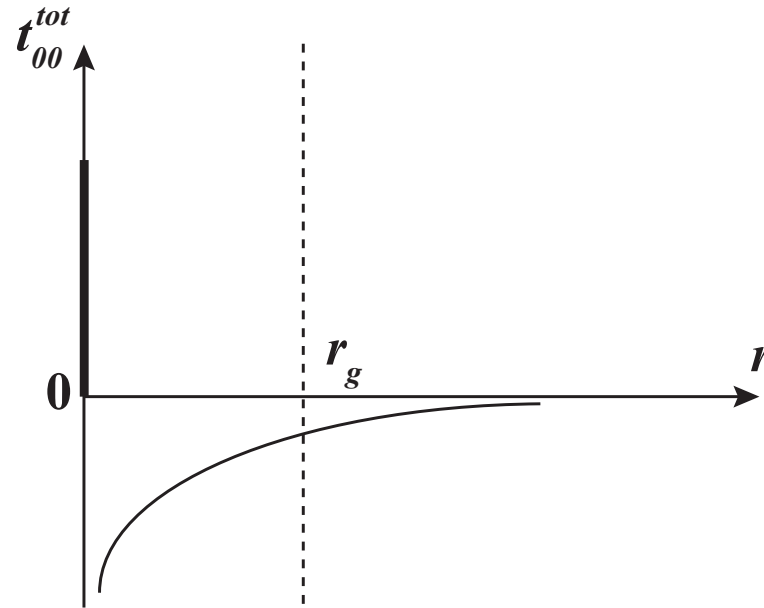
- in Minkowski space:  $d\bar{s}^2 = c^2 dt^2 - dr^2 - r^2 d^2\Omega$ .

- The field configuration:

$$h_e^{00} = -\frac{r_g}{r}, \quad h_e^{01} = \frac{r_g}{r}, \quad h_e^{11} = -\frac{r_g}{r}. \quad (43)$$

- Energy-momentum:

$$t_{00}^{tot} = mc^2 \delta(\mathbf{r}), \quad t_{11}^{tot} = -mc^2 \delta(\mathbf{r}), \quad t_{AB}^{tot} = -\frac{1}{2} \bar{g}_{AB} mc^2 \delta(\mathbf{r}). \quad (44)$$



## ♠ A general gauge fixing

### • The Schwarzschild solution:

$$\begin{aligned}
 ds^2 = & - \left(1 - \frac{r_g}{r}\right) c^2 dt^2 + 2 \left[ \frac{r_g}{r} + \left(1 - \frac{r_g}{r}\right) f \right] c dt dr \\
 & + \left[ \left(1 + \frac{r_g}{r}\right) - 2 \frac{r_g}{r} f - \left(1 - \frac{r_g}{r}\right) f^2 \right] dr^2 + r^2 d\Omega^2 .
 \end{aligned} \tag{45}$$

### • The field configuration:

$$\begin{aligned}
 h_f^{00} &= -\frac{r_g}{r} + 2\frac{r_g}{r}f + \left(1 - \frac{r_g}{r}\right) f^2 , \\
 h_f^{01} &= \frac{r_g}{r} + \left(1 - \frac{r_g}{r}\right) f , \\
 h_f^{11} &= -\frac{r_g}{r} .
 \end{aligned} \tag{46}$$

◇ Announce of the monograph:

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